

Wave functions!

Hartree Fock.

- ↳ MP2.
- ↳ Coupled cluster
- ↳ FCI, truncated CI.

MCSCF

- ↳ CASP2, NEVPT2, MRLCC.
- ↳ MRCI, MRCI+Q etc.
- ↳ MRCC.

Hartree fock $\Rightarrow$ single determinant obtained by minimizing the energy w.r.t. orbital rotations occupied/unoccupied.

CAS SCF $\rightarrow$ FCI in the active space. obtained by minimizing the energy w.r.t. orbital rotations between core/active/virtual

So we will start by looking at HF and the configuration interaction. Then we will combine the two to see how MCSCF is implemented.

properties of determinants

$$|D\rangle = |\phi_1 \phi_2 \dots \phi_n\rangle = \frac{1}{\sqrt{N}} \det[\underline{\Phi}]$$

$$\langle \phi_i | \phi_j \rangle = \delta_{ij} \quad \{\text{orthogonality}\}.$$

lets do an orbital transformation

$$\underline{\tilde{\phi}} = \underline{\Phi} X \xrightarrow{\text{unitary transformation}} \tilde{\phi}_i = \sum_j \phi_j X_{ji}$$

$$\det(\tilde{\Phi}) = \det[\Phi X]$$

$$= \det[\Phi] \det[X]$$

$\hookrightarrow$ complex phase $e^{i\theta}$
or 1 if ^{special} orthogonal

$$|D_1\rangle = |\phi_1 \phi_2 \dots \phi_n\rangle$$

ϕ and α are completely different

$$|D_2\rangle = |\alpha_1 \alpha_2 \dots \alpha_n\rangle$$

$$\langle D_1 | D_2 \rangle = \det \begin{bmatrix} \langle \alpha_1 | \phi_1 \rangle & \langle \alpha_1 | \phi_2 \rangle & \dots \\ \langle \alpha_2 | \phi_1 \rangle & & \\ \vdots & & \langle \alpha_n | \phi_n \rangle \end{bmatrix}$$

Energy optimization

$$|HF\rangle = e^{-\hat{K}} |D\rangle$$

$\hookrightarrow$ some determinant with suboptimal orbitals

$$E(K) = \langle D | e^{-\hat{K}^\dagger} H e^{-\hat{K}} | D \rangle$$

$$= \langle D | \underline{e^{\hat{K}}} H e^{-\hat{K}} | D \rangle$$

B.C.H. expansion

cor ij k...
virt abc...
general, active pqr...

$$E(K) = \langle D | H + [\hat{K}, H] + \frac{1}{2} [\hat{K}, [\hat{K}, H]] + \dots | D \rangle$$

$$\hat{K} = \sum_{p>q} K_{pq} (E_{pq} - E_{qp}) = \sum_{p>q} K_{pq} E_{pq}^-$$

$$\frac{\partial E}{\partial K_{ij}} = \langle D | [E_{pq}^-, H] | D \rangle = 0.$$

$$\langle D | E_{pq}^- H - H E_{pq}^- | D \rangle = 0.$$

$\begin{matrix} i \\ \downarrow \\ j \end{matrix}$

if $p, q = ij$ {occupied}.

if $p, q = ab$ {unoccupied}.

$$\langle D | E_{pq}^- H - H E_{pq}^- | D \rangle = 0.$$

At minimum.

$$\begin{matrix} \uparrow \\ \text{HF} \end{matrix} \langle D | [E_{ai}^-, H] | D \rangle = 0.$$

$$\hookrightarrow 2 \langle D | [E_{ai}^-, H] | D \rangle = 0.$$

$$2 \langle D | E_{ai}^- H - H E_{ai}^- | D \rangle = -2 \langle D | H E_{ai}^- | D \rangle = 0.$$

$$\therefore \boxed{\langle D | H | D_i^a \rangle = 0} \quad \text{Brillouin condition.}$$

$$\langle D | [E_{pq}^-, H] | D \rangle = 0.$$

$$\Rightarrow \boxed{\langle D | [E_{pq}^-, H] | D \rangle = 0} \quad \text{generalized Brillouin Condition.}$$

Hessian:

$$E_{pq,rs}^2 = \frac{1}{2} \left[\langle D | [E_{pq}^-, [E_{rs}^-, H]] | D \rangle + \langle D | [E_{rs}^-, [E_{pq}^-, H]] | D \rangle \right]$$

$$2 \quad T \quad \langle V | L E_{rs}, L E_{ps}, H | J | U \rangle \quad \downarrow$$

Canonical Hartree Fock:- [ROHF cannot be expressed as canonical HF].

$$E[\psi] = \sum_i \langle \phi_i | h | \phi_i \rangle + \frac{1}{2} \sum_{ij} \langle \phi_i \phi_j | \phi_i \phi_j \rangle - \langle \phi_i \phi_j | \phi_j \phi_i \rangle$$

$$\delta E = \sum_i \langle \delta \phi_i | h | \phi_i \rangle + \frac{1}{2} \sum_{ij} \langle \delta \phi_i \phi_j | \phi_i \phi_j \rangle - \langle \delta \phi_i \phi_j | \phi_j \phi_i \rangle$$

+ c. c.

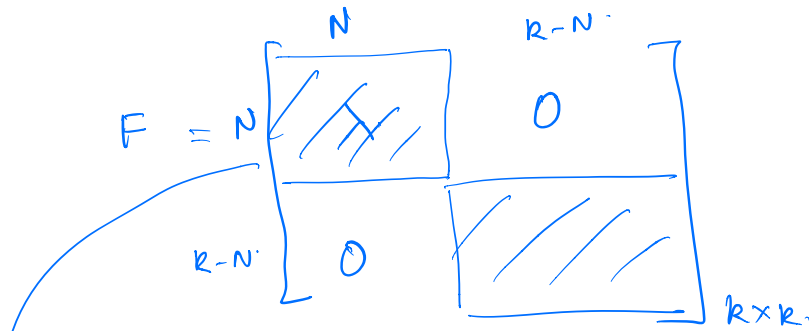
$$= \sum_i \langle \delta \phi_i | F | \phi_i \rangle + c. c.$$

$$\underline{\underline{\hat{F}}} = \hat{h} + \hat{J} - \hat{K} \quad \left\{ \text{Fock operator} \right\}.$$

$$\hat{J} = \sum_i \hat{J}_i$$

$$\hat{J}_i f(1) = \int \frac{\phi_i^*(2) \phi_i(2)}{\gamma_{12}} f(1) d\tau_2.$$

very strange. $\Rightarrow \hat{K}_i f(1) = \int \frac{\phi_i^*(2) f(2)}{\gamma_{12}} \phi_i(1) d\tau_2.$



$f_{ia} = 0$ { optimization condition.

$$\hat{F} \phi_i = \sum_k \lambda_{ki} \phi_k.$$

$$\tilde{\phi}_j = \sum_i \phi_i V_{ij}$$

eigenvectors { energy invariant to occ-occ transformations }.

$$\tilde{F} \tilde{\phi}_i = \epsilon_i \tilde{\phi}_i \quad \leftarrow \quad \epsilon_i \text{ are orbital energies}$$

Show that $\epsilon_i = \langle i | h | i \rangle + \sum_{j=1}^N \langle ij | ij \rangle - \langle ij | ji \rangle$

$\epsilon_a = \langle a | h | a \rangle + \sum_{j=1}^N \langle aj | aj \rangle - \langle aj | ja \rangle$ replace i with a.

$$E \neq \sum_i \epsilon_i - \frac{1}{2} \sum_i \langle \phi_i | J - K | \phi_i \rangle$$

Koopman's Theorem:-

$$IP_i = \langle HF | a_i^\dagger H a_i | HF \rangle - E_{HF}.$$

$$= -f_{ii}$$

Show this.

$$EA = -\langle n | a_a H a_a^\dagger | HF \rangle + E_{HF}$$

$$= -f_{aa}.$$

Configuration Interaction:-

$$H|\psi\rangle = E|\psi\rangle.$$

$$H_{ij} = \langle D_i | H | D_j \rangle \quad |\psi\rangle = \sum_i C_i |D_i\rangle$$

$\langle D_i | H | D_j \rangle \Rightarrow$ Slater-Condon rules

$$\hookrightarrow H = \sum_{pq} \langle p | h | q \rangle E_{pq} + \frac{1}{2} \sum_{\substack{pq \\ rs}} \langle pr | qs \rangle (E_{pq} E_{rs} - \delta_{rq} E_{ps})$$

$$= \sum_{pq} K_{pq} E_{pq} + \frac{1}{2} \sum_{\substack{pq \\ rs}} g_{pqrs} E_{pq} E_{rs}$$

↓

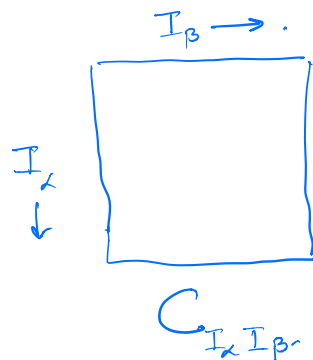
$$h_{pq} + \sum_r \langle pr | rq \rangle$$

$$\Rightarrow \text{Number of determinants} = \binom{K}{C_{N_\alpha}} \binom{K}{C_{N_\beta}}$$

↓ ↓

$$\{I_\alpha\} \quad \{I_\beta\}.$$

$$|\psi\rangle = \sum_{I_\alpha I_\beta} C_{I_\alpha I_\beta} |I_\alpha\rangle |I_\beta\rangle$$



$$H = \sum_{pq} K_{pq} E_{pq} + \sum_{pqrs} g_{pqrs} E_{pq} E_{rs}$$

$$V_{I_\alpha I_\beta} = \sum_{\substack{pqrs \\ K_\alpha K_\beta \\ J_\alpha J_\beta}} g_{pqrs} \langle I_\alpha I_\beta | E_{pq} | K_\alpha K_\beta \rangle \langle K_\beta | E_{rs} | J_\alpha J_\beta \rangle C_{J_\alpha J_\beta}$$

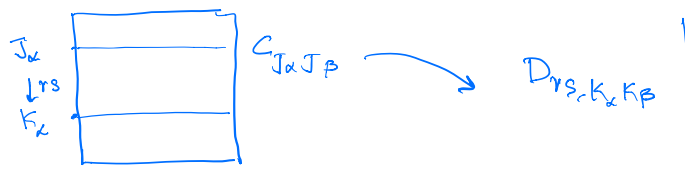
$$D_{rs, K_\alpha K_\beta} = \sum_{J_\alpha J_\beta} \langle K_\alpha K_\beta | E_{rs} | J_\alpha J_\beta \rangle C_{J_\alpha J_\beta}$$

$$G_{pq, K_\alpha K_\beta} = \frac{1}{2} \sum_{rs} g_{pqrs} D_{rs, K_\alpha K_\beta}$$

$$V_{I_\alpha I_\beta} = \sum_{\substack{pq \\ K_\alpha K_\beta}} \langle I_\alpha I_\beta | E_{pq} | K_\alpha K_\beta \rangle G_{pq, K_\alpha K_\beta}$$

$$D_{rs, K_\alpha K_\beta} = \sum_{J_\alpha} \langle K_\alpha | E_{rs}^\alpha | J_\alpha \rangle C_{J_\alpha J_\beta} + \sum_{J_\beta} \langle K_\beta | \underline{E_{rs}^\beta} | J_\beta \rangle C_{J_\alpha J_\beta}$$

$$E_{rs}^\beta$$



$$|\psi\rangle = e^{-\hat{K}} \sum_i c_i |D_i\rangle \quad [\text{MCSCF parametrization}]$$

$$E(\mathbf{K}, \mathbf{c}) = \frac{\langle \psi | e^{\hat{K}} H e^{-\hat{K}} | \psi \rangle}{\langle \psi | e^{\hat{K}} e^{-\hat{K}} | \psi \rangle} = \frac{\langle \psi | e^{\hat{K}} H e^{-\hat{K}} | \psi \rangle}{\langle \psi | \psi \rangle}$$

$$\frac{\partial E}{\partial c_i} = \sum_j 2 H_{ij} c_j - 2 E c_i$$

$$\frac{\partial E}{\partial K_{pq}} = \langle 0 | [E_{pq}^-, H] | 0 \rangle.$$

$$\frac{\partial E}{\partial K_{pq} \partial K_{rs}} = \frac{1}{2} \left[\langle [E_{pq}^-, [E_{rs}^-, H]] \rangle + \langle [E_{rs}^-, [E_{pq}^-, H]] \rangle \right]$$

→ You just need the 2-RDM.

Density matrix renormalization group in the ag of Matrix Product States [Ulrich Schollwöck]

SVD:

$$M_{n \times m} = \underbrace{U}_{n \times n} \underbrace{S}_{n \times n} \underbrace{V^+}_{n \times m}.$$

$n < m$

$$U = \begin{bmatrix} | & | & | & | \end{bmatrix}$$

$$U^+ U = \mathbb{I} \text{ and } U^+ U = \mathbb{I}$$

→ orthogonal matrix

$$S = \begin{bmatrix} \sigma_1 & & & 0 \\ & \sigma_2 & & \\ & & \ddots & \\ 0 & & & \sigma_n \end{bmatrix}$$

→ singular matrix

non-zero eigenvalues
= rank (r).

$$V^+ \Rightarrow \begin{bmatrix} \text{---} \\ \text{---} \\ \text{---} \end{bmatrix}$$

$$V^+ V = \mathbb{I}$$

→ orthogonal rows.

if you want to approximate M (rank k) by a matrix M' ($r' < r$) then it is given by.

$$M' = U S' V^+ \quad S' = \begin{bmatrix} \sigma_1 & & \\ & \sigma_{r'} & \\ & & 0_0 \end{bmatrix}$$

$$\downarrow$$

$$U' = \left[\begin{array}{c} | \\ | \\ | \\ | \end{array} \right] \quad \left[\begin{array}{c} \\ \\ \\ \end{array} \right] \quad \left[\begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right]$$

$n \times r' \quad r' \times r' \quad r' \times m'$

only $V'^+ V' = I$ and $\boxed{U U^+ \neq I}$

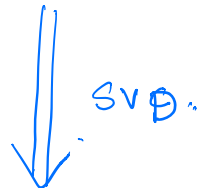
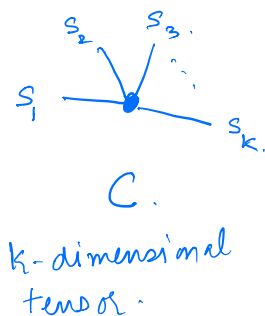
take an arbitrary state in the lock space.

$$|\psi\rangle = \sum_{s_1, s_2, \dots, s_k} C_{s_1, s_2, \dots, s_k} |s_1, s_2, \dots, s_k\rangle$$

$S_i = 0, 1$ in this case we often use spin orbitals.

$$S_i = \alpha, \beta, \uparrow, \downarrow.$$

but we can use general symbol "d" for # states in an orbital.



$$C = \sum_{a_i} U_{s_i, a_i} S_{a_i, a_i} (V^+)_{a_i, s_2, \dots, s_k}$$

$$= \sum_{a_1} U_{s_1, a_1} C_{(a_1, s_2)}^\dagger \delta_k.$$

$$C = \sum_{\substack{a_1 \\ a_2}} U_{s_1, a_1} U_{(a_1, s_2), a_2} C_{a_2, s_3 \dots s_L}.$$

$$= \sum_{a_1 \dots a_n} U_{a_1}^{s_1} U_{a_1, a_2}^{s_2} U_{a_2, a_3}^{s_3} \dots U_{a_{k-1}}^{s_k}$$

$$= A^{s_1} A^{s_2} \dots A^{s_k} \quad \begin{cases} \text{matrix product} \\ \text{states} \end{cases}$$

$$\sum_{a_{l-1} s_L} U_{(a_{l-1}, s_L), a_L} U_{(a_{l-1}, s_L), a_{L'}} = \delta_{a_L, a_{L'}}$$

$$= \sum_{s_L, a_{l-1}} A_{a_L, a_{l-1}}^{s_L^\dagger} A_{a_{l-1}, a_{L'}}^{s_L} =$$

$$\boxed{\sum_{s_L} A^{s_L^\dagger} A^{s_L} = \mathbb{I}}$$

Left Canonical form.

$$C_{s_1 s_2 \dots s_k} = \sum_{a_{k-1}} U_{s_1 s_2 \dots a_{k-1}} S_{a_{k-1} a_{k-1}} (V^\dagger)_{a_{k-1} s_k}.$$

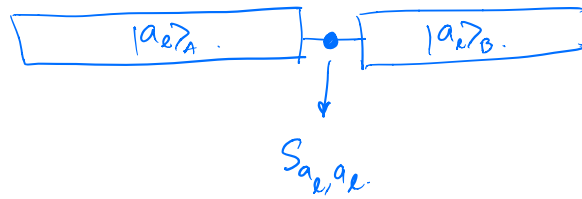
$$\begin{aligned}
&= \sum_{a_{k-1}} C_{s_1 s_2 \dots (s_{k-1} a_{k-1})} B_{a_{k-1}}^{s_k} = \sum_{\substack{a_{k-2} \\ a_{k-1}}} C_{s_1 s_2 \dots a_{k-2}} B_{a_{k-2} a_{k-1}}^{s_k} \\
&= \sum_{a_{k-1}} C_{s_1 \dots s_{k-2}} (s_{k-1} a_{k-1}) B_{a_{k-1}}^{s_k} \\
&= \sum_{\substack{a_{k-2} \\ a_{k-1}}} C_{s_1 \dots s_{k-2} a_{k-2}} \left[\overbrace{B_{a_{k-2} a_{k-1}}^{s_{k-1}}}^{s_{k-1}} \right] B_{a_{k-1}}^{s_k} \\
&\sum_{a_{l-2} s_{l-2}} B_{a_{l-1} a_l}^{s_{l-2}} B_{a_{l-1}' a_l}^{s_l} = \sum_{s_l} B_{a_{l-1} s_l}^{s_l} B_{s_l a_l}^{s_l} = \mathbb{I}.
\end{aligned}$$

Right Canonical form:

Mixed Canonical form:

$$\begin{aligned}
C_{s_1 s_2 \dots s_l} &= \sum (A^{s_1} \dots A^{s_l})_{a_l} S_{a_l, a_l} (V^+)_{a_l, s_{l+1} \dots s_k} \\
&= \sum_{a_l} (A^{s_1} \dots A^{s_l})_{a_l} S_{a_l, a_l} \left(B_{a_l}^{s_{l+1}} \dots B^{s_{l-1}} B^{s_k} \right) \\
&= \sum_{a_l} (A^{s_1} \dots A^{s_l})_{a_l} S_{a_l, a_l} (B_{a_l}^{s_{l+1}} \dots B^{s_k}) \\
&= \sum |a_l\rangle_A S_{a_l, a_l} |a_l\rangle_B.
\end{aligned}$$

$$a_e \quad \text{---} \quad \text{---} \quad \text{---} \quad \text{---} \quad \text{---} \quad \text{---}$$



$$|a_e>_A = \sum_{s_1 \dots s_e} (A^{s_1} \dots A^{s_e}) |s_1 \dots s_e>$$

$$|a_e>_B = \sum_{s_{e+1} \dots s_k} (B^{s_{e+1}} \dots B^{s_k}) |s_{e+1} \dots s_k>$$

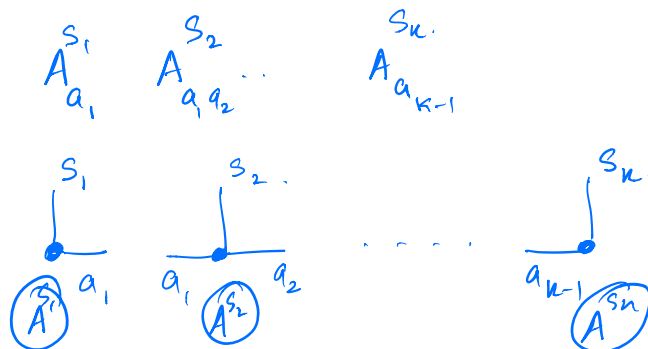
$$\langle a_e' | a_e \rangle_A = \sum_{s_1 \dots s_e} (A^{s_e'} \dots A^{s_1'}) (A^{s_1} \dots A^{s_e})$$

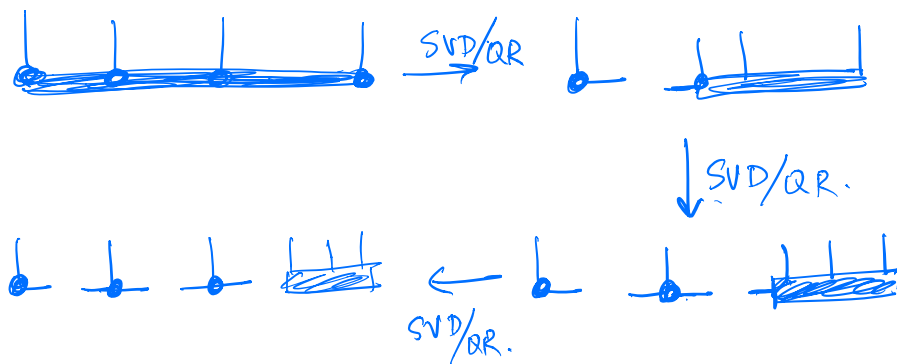
$$= \delta_{a_e', a_e}$$

$$\langle a_e' | a_e \rangle_B = \delta_{a_e', a_e}$$

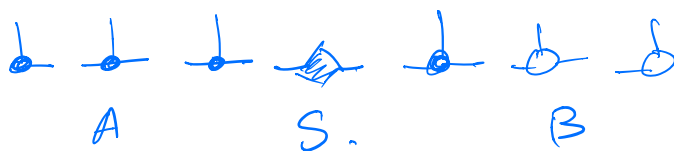
lets look at the graphical notation

$$|\psi> = \sum_{s_1 \dots s_n} A^{s_1} A^{s_2} \dots A^{s_n} |s_1 \dots s_n>$$





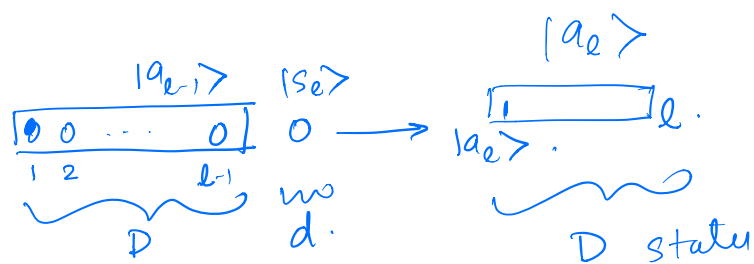
Mixed Canonical !



So far we have not performed any truncation, but we can do that now; the matrix

$A_{a_{l-1} a_l}^{s_{l-1} s_l}$ the bond dimension of $a_l \leq \boxed{M}$
 the size of the row/columns of all matrices

the truncation of the bond-dimension to M is related to the concept of decimation



$$|a_l\rangle = \sum_{a_{l-1} s_{l-1}} \langle a_{l-1} s_{l-1} | a_l \rangle |a_{l-1}\rangle |s_{l-1}\rangle$$

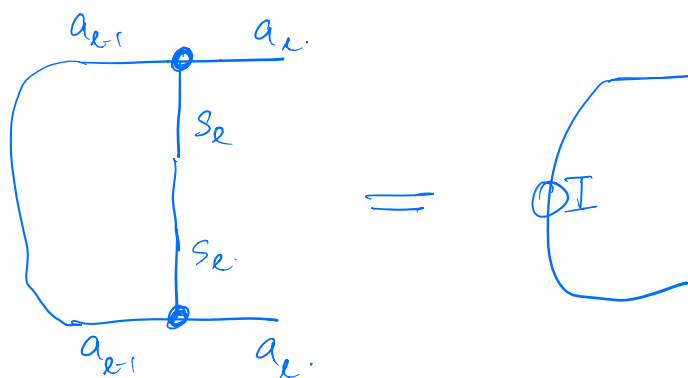
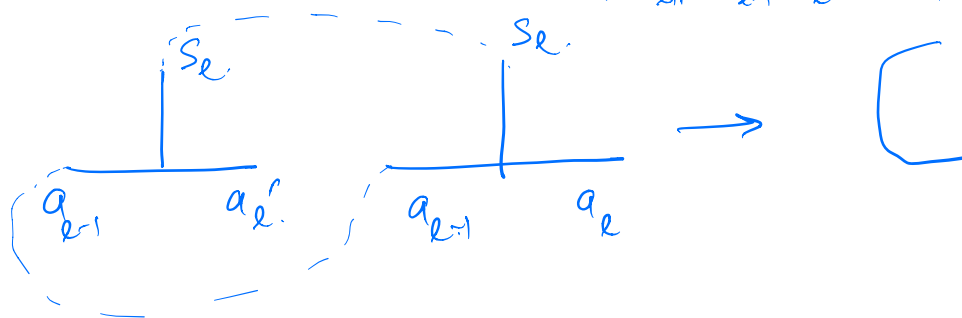
$$= \sum_{a_{l-1} s_{l-1}} \left(A_{a_{l-1} a_l}^{s_{l-1} s_l} \right) |a_{l-1}\rangle |s_{l-1}\rangle$$

$$= \sum_{a_{l-2} s_{l-2}} A_{a_{l-2} a_{l-1}}^{s_{l-2} s_{l-1}} A_{a_{l-1} a_l}^{s_{l-1} s_l} |a_{l-2}\rangle |s_{l-2}\rangle |s_{l-1}\rangle$$

$$= \sum \underbrace{A^{S_1} A^{S_2} \dots A^{S_L}}_{\substack{\rightarrow \text{decomposition} \\ \text{matrices}}} |g_1\rangle |g_2\rangle \dots |g_L\rangle$$

matrix contraction:

$$\sum_{S_L} A_L^{S_L} A_L^{S_L} = I \quad \rightarrow \quad \sum_{S_L} A_L^{S_L} A_L^{S_L}$$



Entanglement Entropy:-

$$\boxed{A} \quad \boxed{B}$$

$$|\psi\rangle = \sum_{\alpha} S_{\alpha} |\alpha\rangle_A |\alpha\rangle_B$$

$$\langle\psi|\psi\rangle = 1 = \sum_{\alpha} S_{\alpha}^2$$

Decimation $\Rightarrow$ discard the smallest singular values.

$$\rho_A = \text{Tr}_B [|\psi\rangle\langle\psi|] = \sum_{\alpha} S_{\alpha}^2 |\alpha\rangle_A \langle\alpha|_A$$

Shannon - Entropy

$$S(\rho_A) = -\text{Tr}[\rho_A \ln \rho_A] = -\sum_{\alpha} S_{\alpha}^2 \ln(S_{\alpha}^2)$$

$$\stackrel{a)}{=} \frac{1}{2} [|\uparrow\downarrow\rangle + |\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle + |\downarrow\uparrow\rangle]$$

$$= (|\uparrow\rangle + |\downarrow\rangle) \otimes (|\uparrow\rangle + |\downarrow\rangle) \text{ product states}$$

$$b) \frac{1}{\sqrt{2}} [|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle]$$

$$|\psi\rangle = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

singular values (0, 1)

$$\Rightarrow S = -0 \ln 0 - 1 \ln 1 = 0$$

$$|\psi\rangle = \begin{matrix} \uparrow & \downarrow \\ \begin{bmatrix} \frac{1}{\sqrt{2}} & 0 \\ 0 & \frac{1}{\sqrt{2}} \end{bmatrix} \end{matrix}$$

$$\Rightarrow S = 0.7$$

Entanglement Entropy:- how entangled states are.

$$|\psi\rangle = |\psi_A\rangle |\psi_B\rangle$$

$$S = 0$$

make a measurement on A $\rightarrow$ does not interfere with measurement on B.

$$S \neq 0$$

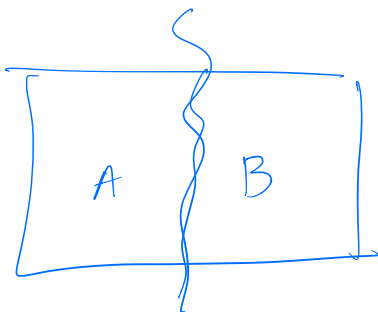
low entangled $|\psi\rangle = \sum_i |\psi_{Ai}\rangle |\psi_{Bi}\rangle$

for 1-D systems S_a^2 decay exponentially fast.

$$e^{-c \ln^2 a}$$



for 2-D system



$$e^{-\ln^2 a / W}$$

Area law
Entanglement

max Entropy of MPS

$$\ln_2 D$$

$$\Rightarrow \begin{cases} S = \text{constant} \\ S \propto W \end{cases}$$

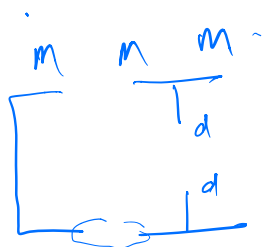
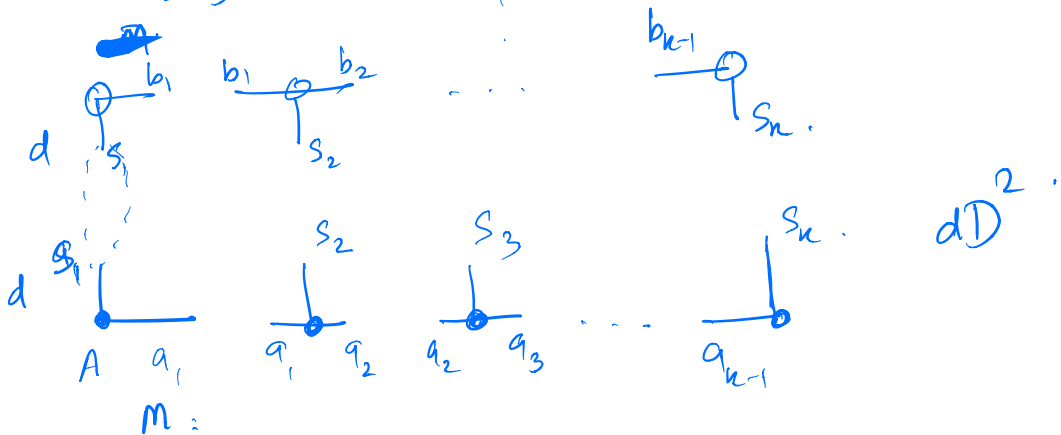
$\langle \psi_2 | \psi_1 \rangle$

Overlap of two MPS:-

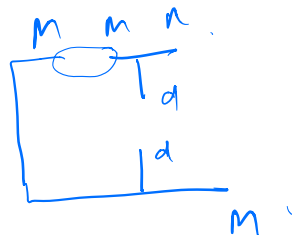
$$\left[\sum_{\{b_i\}} \sum_{\{s'_i\}} B_{b_1}^{s'_1} B_{b_1 b_2}^{s'_2} \dots B_{b_{n-1}}^{s'_n} \langle s'_1 \dots s'_n | \right] \left[\sum_{\{a_i\}} \sum_{\{s_i\}} A_{a_1}^{s_1} A_{a_1 a_2}^{s_2} \dots A_{a_{n-1}}^{s_n} | s_1 \dots s_n \rangle \right]$$

$$\sum_{\{b_i\}} \sum_{\{a_i\}} \sum_{\{s_i\}} \left(B_{b_1}^{s_1} B_{b_1 b_2}^{s_2} \dots B_{b_{n-1}}^{s_n} \right) \left(A_{a_1}^{s_1} A_{a_1 a_2}^{s_2} \dots A_{a_{n-1}}^{s_n} \right)$$

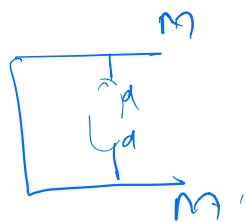
$$= \sum_{\{a_i\}} \sum_{\{b_i\}} (AB[s_1])_{b_1 a_1} (AB[s_2])_{b_1 a_1 b_2 a_2} \dots (BA[s_n])_{b_{n-1} a_{n-1}}$$



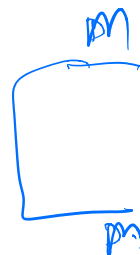
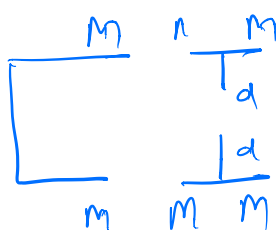
dD^3



$$d^2 D^3$$



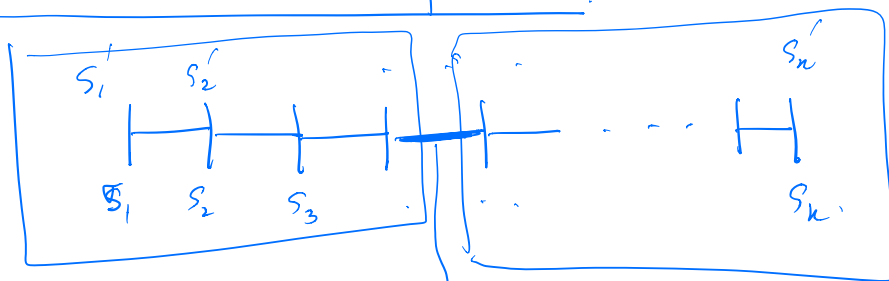
$$d D^2$$



$$[d^3 m + \underline{d^2 m} + d m^2] = O(d^2 m)$$

$$\underline{O[K d^2 m^3]}$$

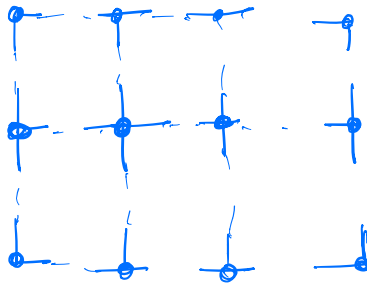
Matrix Product Operators:-



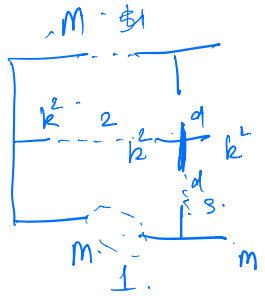
bond $\sim K^2$

dimension

E =



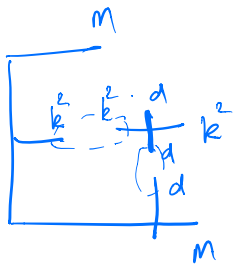
after some sweeps



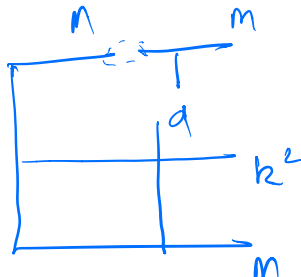
$$d k^2 m^3 + m^2 k^2 d^2 + m^2 d^2 k^2$$



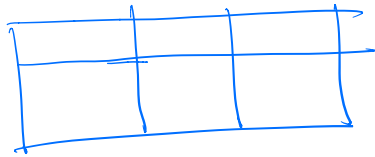
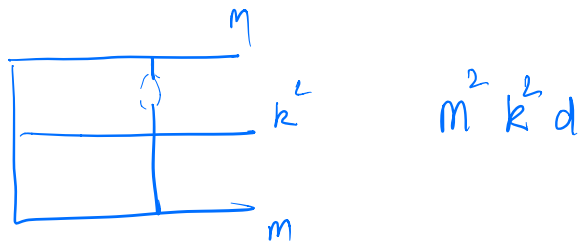
$$m^3 d^2 k^2$$



$$k^2 d^2 m^2$$

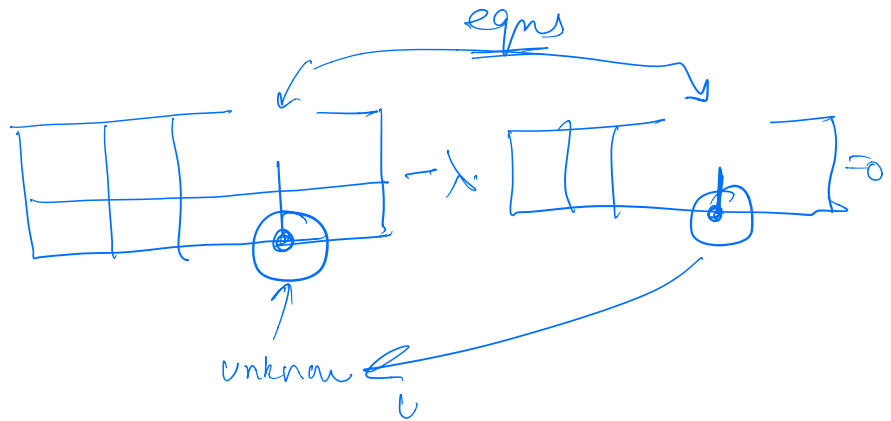


$$m^3 d^2 k^2$$



$$L = \langle \psi | H | \psi \rangle - \lambda [\langle \psi | \psi \rangle - 1]$$

$$\frac{\partial L}{\partial \psi}$$



Briefly talk about the 2-site algorithm.

Fill in the blanks